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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/077,546 TRANSMITTAL 02/15/2002 **Filing Date FORM** First Named Inventor Peter A. Leeds et al. Group Art Unit (to be used for all correspondence after initial filing) . 3624 Hani M. Kazimi **Examiner Name** L9090/269380 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please **Extension of Time Request** identify below): Terminal Disclaimer PTO/SB/08 and 3 references. **Express Abandonment Request** Request for Refund RECEIVED Information Disclosure Statement CD, Number of CD(s) FEB & A 2003 Certified Copy of Priority Document(s) Remarks **GROUP 3600** Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Geoff L. Sutcliffe, Reg. No. 36,348 KILPATRICK STOCKTON LLP Individual name Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Peter A. Leeds, et al.

SERIAL NO.:

10/077,546

GROUP ART UNIT: 3624

FILED:

February 15, 2002

EXAMINER:

Hani M. Kazimi

FOR:

MANAGEMENT SYSTEMS AND METHODS FOR MAXIMIZING

RETURN ON INVESTMENTS

Patents, Washington, DC 20231 on 2110

DATE: February 10, 2003

ATTORNEY DOCKET NO.: L9090/269380

CERTIFICATE OF MAILING UNDER 35 U.S.C. 1.8(a)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEM

Dear Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patrice 1070 C.F.R. §§ 1.56, 1.97, and 1.98), enclosed are copies of the references listed on the attached modified Form PTO-08A.

The undersigned does not concede that any of the identified materials constitute prior art within the meaning of the United States patent laws.

This Information Disclosure Statement is being filed before the mailing of the first Office Action on the merits (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be required. However, if a first Office Action on the merits has issued, please consider this Information Disclosure Statement under 37 C.F.R. 1.97(c). The Commissioner is hereby authorized to charge the applicable fees, if any, to Deposit Account No. 11-0855.

U.S.S.N.: 10/077,546 Filed: February 15, 2002 Applicant: Peter A. Leeds et al.

Respectfully submitted,

Geoff L. Sutcliffe Reg. No. 36,348

Attorney for Assignee

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Attorney Docket No.: L9090/269360

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Complete if Known				
Application Number	10/077,546			
Filing Date	02/15/02			
First Named Inventor	Peter A. Leeds et al.			
Group Art Unit	3624			
Examiner Name	Hani M. Kazimi			
Attorney Docket Number	L9090/269380			

				U.S. PATENT DOCU	JMENTS	
Examiner nitials	Cite No.1	U.S. Patent Number	Document Kind Code ² (if known)	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	-	5845265		Woolston	12/01/1998	
7		6085176		Woolston	07/04/2000	
		6202051		Woolston	03/13/2001	
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FOREIGN PATENT DOCUMENTS								
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		Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T-6

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.